

## Closure operators and Galois connections in categories of modules

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ABSTRACT. In this paper we define a Galois connection between modules and rings. In case of finite generated modules over a principal ring we characterize the closed subsets with respect to associated closure operators.

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### 1. Prerequisites

In [1] are presented the next definitions and theorems

**Definition 1.1.** Let  $S$  be a set. A function

$$cl : \mathcal{P}(S) \rightarrow \mathcal{P}(S)$$

with the properties:

$$\begin{aligned} (\forall X \subseteq S) \quad cl(X) \supseteq X \\ (\forall X, Y \subseteq S) \quad X \subseteq Y \Rightarrow cl(X) \subseteq cl(Y) \\ (\forall X \subseteq S) \quad cl(cl(X)) = cl(X) \end{aligned}$$

is called a **closure operator on  $S$** .

If  $cl$  is a closure operator on  $S$ , the subsets  $X \subseteq S$  satisfying  $cl(X) = X$ , equivalently, the subsets of the form  $cl(Y)$  ( $Y \subseteq S$ ) are called **the closed subsets of  $S$  under  $cl$** .

**Definition 1.2.** Let  $S, T$  be sets. A pair of maps

$$\lambda : \mathcal{P}(S) \rightarrow \mathcal{P}(T), \quad \mu : \mathcal{P}(T) \rightarrow \mathcal{P}(S)$$

with the properties

$$\begin{aligned} \text{i) } (\forall A, A' \subseteq S) \quad A \subseteq A' \Rightarrow \lambda(A) \supseteq \lambda(A') \\ (\forall B, B' \subseteq T) \quad B \subseteq B' \Rightarrow \mu(B) \supseteq \mu(B') \\ \text{ii) } (\forall A \subseteq S) \quad (\mu \circ \lambda)(A) \supseteq A \\ (\forall B \subseteq T) \quad (\lambda \circ \mu)(B) \supseteq B \end{aligned}$$

is called a **Galois connection between the sets  $S$  and  $T$** .

**Theorem 1.1.** If  $\lambda : \mathcal{P}(S) \rightarrow \mathcal{P}(T)$  and  $\mu : \mathcal{P}(T) \rightarrow \mathcal{P}(S)$  is a Galois connection between the sets  $S$  and  $T$ , then:

- i)  $\mu \circ \lambda : \mathcal{P}(S) \rightarrow \mathcal{P}(S)$  and  $\lambda \circ \mu : \mathcal{P}(T) \rightarrow \mathcal{P}(T)$  are closure operators on  $S$  and  $T$  respectively.
- ii) The sets  $\lambda(A)$  ( $A \subseteq S$ ) are precisely the closed subsets of  $T$  and the sets  $\mu(B)$  ( $B \subseteq T$ ) are precisely the closed subsets of  $S$  with respect to these closure operators.

- iii) The maps  $\lambda$  and  $\mu$ , restricted to closed sets give an antiisomorphism between the complete lattices of closed subsets of  $S$  and  $T$ .

If  $M$  is a module over the ring  $R$  and  $x \in M$ , then

$$\text{Ann}_R(x) := \{a \in R \mid ax = 0\}. \quad (1)$$

If  $R$  is a principal ring, then  $\text{Ann}_R(x)$  is a principal ideal generated by an element denoted  $\mu_x$  and called **the order of  $x$** .

The next representation theorem is proved in [3].

**Theorem 1.2.** (of invariant factors) *Let  $M$  be a finite generated module over the principal ring  $R$ . Then there are  $m, n \in \mathbb{N}$ ,  $m \leq n$  and  $x_1, \dots, x_n \in M$  so that*

$$M = Rx_1 \oplus \dots \oplus Rx_m \oplus Rx_{m+1} \oplus \dots \oplus Rx_n \quad (2)$$

where  $\mu_{x_i} \sim d_i \neq 0$ ,  $d_i \notin U(R)$ , for  $1 \leq i \leq m$ ,  $d_1 | d_2 | \dots | d_m$  and  $\mu_{x_j} = 0$  for  $m < j \leq n$ .

$d_1, \dots, d_m$  are called **the invariant factors of  $M$** .

## 2. A Galois connection in category of modules

Let  $R$  be a unitary commutative ring and  $M$  a  $R$ -module. We define two maps:

$$\lambda : \mathcal{P}(R) \rightarrow \mathcal{P}(M)$$

$$\lambda(A) := \{x \in M \mid \text{Ann}_R(x) \supseteq A\} \quad (A \subseteq R) \quad (3)$$

$$\mu : \mathcal{P}(M) \rightarrow \mathcal{P}(R)$$

$$\mu(L) := \{x \in R \mid (\forall x \in L) ax = 0\} = \bigcap_{x \in L} \text{Ann}_R(x) \quad (L \subseteq M). \quad (4)$$

**Theorem 2.1.** *The maps  $\lambda$  and  $\mu$  define a Galois connection between the sets  $R$  and  $M$ .*

*Proof.* If  $A, A' \in \mathcal{P}(R)$  and  $A \subseteq A'$ , then from (3) it results  $\lambda(A) \supseteq \lambda(A')$ . Analogous, if  $L, L' \in \mathcal{P}(M)$  and  $L \subseteq L'$ , then from (4) it results  $\mu(L) \supseteq \mu(L')$ . Also, from (3) and (4) it results

$$(\forall A \subseteq R) \quad (\mu \circ \lambda)(A) \supseteq A$$

$$(\forall L \subseteq M) \quad (\lambda \circ \mu)(L) \supseteq L.$$

Hence, the pair of maps  $(\lambda, \mu)$  defines a Galois connection between  $R$  and  $M$ .  $\square$

From Theorem 1.1 we deduce

**Consequence 2.1.** i)  $\mu \circ \lambda : \mathcal{P}(R) \rightarrow \mathcal{P}(R)$  and  $\lambda \circ \mu : \mathcal{P}(M) \rightarrow \mathcal{P}(M)$  are closure operators on  $R$  and  $M$  respectively.

ii) The sets  $\lambda(A)$  ( $A \subseteq R$ ) are precisely the closed subsets of  $M$  with respect to  $\lambda \circ \mu$  and the sets  $\mu(L)$  ( $L \subseteq M$ ) are precisely the closed subsets of  $R$  with respect to  $\mu \circ \lambda$ .

iii) The maps  $\lambda$  and  $\mu$  restricted to the closed subsets give an antiisomorphism between the complete lattice of  $\mu \circ \lambda$  closed subsets of  $R$  and the complete lattice of  $\lambda \circ \mu$  closed subsets of  $M$ .

### 3. About the lattice associated to a factorial ring

The next construction is usual. Let  $S$  be a nonempty set and  $\rho$  a preorder relation on  $S$  ( $\rho$  is transitive and reflexive). Let  $\rho^d$  be the dual relation of  $\rho$  defined by

$$(x, y) \in \rho^d \Leftrightarrow (y, x) \in \rho.$$

We note  $\hat{\rho} = \rho \cap \rho^d$ . Hence

$$x\hat{\rho}y \Leftrightarrow x\rho y \text{ and } x\hat{\rho}y \Leftrightarrow x\rho y \text{ and } y\rho x.$$

$\hat{\rho}$  is an equivalence relation on  $S$  and we note  $\hat{x} = \hat{\rho}(x)$  the equivalence class of  $x \in S$ . Let  $\hat{S} = S/\hat{\rho}$  be the factor set of  $S$  by  $\hat{\rho}$ . We define a relation  $\bar{\rho}$  on  $\hat{S}$  so

$$\hat{x}\bar{\rho}\hat{y} \Leftrightarrow x\rho y \quad (x, y \in S).$$

$\bar{\rho}$  is well defined. Moreover,  $\bar{\rho}$  is an order relation on  $\hat{S}$ .

We apply this construction in case when  $S = R$  is an entire ring and  $\rho = |$  is the divisibility relation on  $R$

$$a|b \Leftrightarrow \exists c \in R \text{ so that } b = ac \quad (a, b \in R).$$

In this case  $\rho^d = \dot{}$ ,

$$a\dot{b} \Leftrightarrow \exists c \in R \text{ so that } a = bc \quad (a, b \in R)$$

and  $\hat{\rho} = \sim$  is the divisibility associated relation on  $R$

$$a \sim b \Leftrightarrow a|b \text{ and } b|a \quad (a, b \in R).$$

$\hat{R} = R/\sim = \{\hat{a} \mid a \in R\}$  is the set of equivalence classes with respect to  $\hat{\rho}$ . The relation  $\bar{\rho}$ :

$$a\bar{\rho}b \Leftrightarrow a|b \quad (a, b \in R)$$

is an order relation on  $\hat{R}$ .

Moreover, if  $R$  is a factorial ring, then  $(\hat{R}, \bar{\rho})$  is a lattice:  $\hat{a} \wedge \hat{b} = \hat{d}$  where  $d$  is the greatest common divisor of  $a$  and  $b$ ,  $\hat{a} \vee \hat{b} = \hat{m}$  where  $m$  is the least common multiple of  $a$  and  $b$ .

The notions of greatest common divisor and least common multiple may be extended to infinite sets.

Let  $R$  be an entire ring and  $X \subseteq R$ . We say  $d \in R$  is the greatest common divisor of  $X$  and we note  $d \sim d(X)$  if:

- i)  $(\forall a \in X) \quad d|a$ ;
- ii)  $d' \in R$  and  $(\forall a \in X) \quad d'|a \Rightarrow d'|d$

We say  $m \in R$  is the least common multiple of  $X$  and we note  $m \sim m(X)$  if:

- i)  $(\forall a \in X) \quad a|m$ ;
- ii)  $m' \in R$  and  $(\forall a \in X) \quad a|m' \Rightarrow m|m'$

**Theorem 3.1.** *Let  $R$  be a factorial ring. Then  $(\hat{R}, \bar{\rho})$  is a complete lattice.*

*Proof.*  $\hat{1}$  is the least element of lattice  $\hat{R}$  and  $\hat{0}$  is the greatest element of lattice  $\hat{R}$ . It is sufficient to show that every infinite subset of  $R$  has one greatest common divisor and one least common multiple.

Usually, we consider  $(p_i)_{i \in I}$  a complete system of representants for  $\hat{\rho}$  equivalence classes of prime (irreducible) elements. If  $a \in R \setminus \{0\}$ , then  $a$  allows a canonical decomposition (unique):

$$a = u \prod_{i \in I} p_i^{\alpha_i}$$

where  $u \in U(R)$ ,  $\alpha_i \in \mathbb{N}$ ,  $i \in I$  and almost all zero.

Let  $X = \{a_j \mid j \in J\} \subseteq R$ , where  $J$  is an infinite set. If  $0 \in X$ , then  $d(X) \sim d(X \setminus \{0\})$  and  $m(X) \sim 0$ . Next,  $0 \notin X$ . Let

$$a_j = u_j \prod_{i \in I} p_i^{\alpha_{ij}}$$

be the canonical decomposition of elements  $a_j$ ,  $j \in J$ .

$$d(X) \sim \prod_{i \in I} p_i^{\alpha_i},$$

where  $\alpha_i = \min_{j \in J} \alpha_{ij}$ ,  $i \in I$ .

Clearly,

$$\wedge \{\widehat{x} \mid x \in X\} = \widehat{d(X)}$$

and  $(\widehat{R}, \widehat{\rho})$  is a lower semicomplete semilattice.

Let  $I_0 := \{i \in I \mid \exists j \in J \text{ so that } \alpha_{ij} \neq 0\}$ . If  $I_0$  is infinite, then  $m(X) \sim 0$ . If  $I_0$  is finite but there is  $i_0 \in I_0$  such that  $\{\alpha_{i_0 j} \mid j \in J\}$  is unbounded, then again  $m(X) \sim 0$ .

If  $I_0$  is finite and all the sets  $\{\alpha_{ij} \mid j \in J\}$ ,  $i \in I_0$ , are bounded, then

$$m(X) \sim \prod_{i \in I} p_i^{\beta_i}$$

where  $\beta_i = \max\{\alpha_{ij} \mid j \in J\}$ .

Clearly,

$$\vee \{\widehat{x} \mid x \in X\} = \widehat{m(X)}$$

and  $(\widehat{R}, \widehat{\rho})$  is an upper semicomplete semilattice.

Thus,  $(\widehat{R}, \widehat{\rho})$  is a complete lattice.  $\square$

**Theorem 3.2.** *If  $R$  is a factorial ring and  $X$  is an infinite subset of  $R$ , then there is a finite subset  $X'$  of  $X$ , so that*

$$d(X) \sim d(X').$$

*Proof.* We use the notices of Theorem 3.1 and we suppose  $0 \notin X$ .

$$X = \{a_j \mid j \in J\}, \quad a_j = u_j \prod_{i \in I} p_i^{\alpha_{ij}}.$$

Let  $s \in J$ , arbitrarily chosen, but fixed and

$$\{i_1, \dots, i_r\} = \{i \in I \mid \alpha_{is} \neq 0\}, \quad \alpha_i = \min\{\alpha_{ij} \mid j \in J\}, \quad i \in I.$$

If  $i \notin \{i_1, \dots, i_r\}$ , then  $\alpha_i = 0$ .

For  $k \in \overline{1, r}$  let  $j_k$  chosen so that  $\alpha_{i_k j_k} = \alpha_{i_k}$ .

We take

$$X' = \{a_s, a_{j_1}, \dots, a_{j_r}\}.$$

Then

$$d(X') \sim \prod_{k=1}^r p_k^{\alpha_{i_k}} = \prod_{i \in I} p_i^{\alpha_i} \sim d(X).$$

$\square$

**Consequence 3.1.** *Let  $R$  be a principal ring and  $X = \{a_j \mid j \in J\}$  a nonempty subset of  $R$ . Then there are  $c_j \in R$ ,  $j \in J$ , almost all zero, so that*

$$d(X) \sim \sum_{j \in J} c_j a_j.$$

*Proof.* If  $X$  is finite then the result is known. Since  $R$  is a principal ring it results that  $R$  is a factorial ring. If  $X$  is infinite subset of  $R$ , then, since Theorem 3.2 there is a finite subset  $J_0$  of  $J$  so that

$$d(X) \sim d(X')$$

where  $X' = \{a_j \mid j \in J_0\}$ .

There are  $c_j \in R$ ,  $j \in J_0$  such that

$$d(X') \sim \sum_{j \in J_0} c_j a_j.$$

For  $j \in J \setminus J_0$  we take  $c_j = 0$ . Then

$$d(X) \sim d(X') \sim \sum_{j \in J} c_j a_j.$$

□

#### 4. Characterization of closed subsets with respect to closure operator $\lambda \circ \mu$

From Theorem 1.1 it results that the closed subsets of  $M$  with respect to closure operator  $\lambda \circ \mu$  are precisely the sets  $\lambda(A)$ ,  $A \subseteq R$ .

**Lemma 4.1.** *Let  $R$  be a principal ring and  $M$  a  $R$ -module. If  $A \subseteq R$ , then*

$$\lambda(A) = \lambda(\{d\}), \text{ where } d \sim d(A).$$

*Proof.* If  $A = \emptyset$  or  $A = \{0\}$ , then  $d(A) = 0$  and  $\lambda(A) = \lambda(\{0\}) = M$ . If  $A \neq \emptyset$  and  $A \neq \{0\}$ , then there is a finite subset  $A' = \{a_1, \dots, a_n\} \subseteq A$  such that  $d(A) \sim d(A') \sim d$  (Theorem 3.2).

There are  $u_1, \dots, u_n \in A$  such that

$$d = u_1 a_1 + \dots + u_n a_n.$$

If  $x \in \lambda(A)$ , then  $\text{Ann}_R(x) \supseteq A \supseteq A'$ .

$$dx = u_1 a_1 x + \dots + u_n a_n x = 0$$

Thus  $x \in \lambda(\{d\})$ .

Conversely, if  $x \in \lambda(\{d\})$ , then  $\text{Ann}_R(x) \ni d$ .

$$(\forall a \in A) \quad d|a \Rightarrow ax = 0$$

It results that  $\text{Ann}_R(x) \supseteq A$  and  $x \in \lambda(A)$ . Therefore  $\lambda(A) = \lambda(\{d\})$ . □

For  $x \in \mathbb{R}$ , we note

$$x_+ = \begin{cases} x, & \text{if } x \geq 0, \\ 0, & \text{if } x < 0. \end{cases}$$

**Theorem 4.1.** *Let  $M = Rx_1 \oplus \dots \oplus Rx_m \oplus \dots \oplus Rx_n$  be a finite generated module over the principal ring  $R$  and*

$$d_i = \prod_{j \in I} p_j^{\alpha_{ji}}, \quad i \in \overline{1, m}$$

*the invariant factors of  $M$ . Let  $d = \prod_{j \in I} p_j^{s_j} \in R \setminus \{0\}$ . Then*

$$\lambda(\{d\}) = Rx_1 \oplus \dots \oplus Rx_m$$

where

$$t_i = \prod_{j \in I} p_j^{\beta_{ji}}, \quad \beta_{ji} = (\alpha_{ji} - s_j)_+, \quad j \in J, \quad i \in \overline{1, m}.$$

*Proof.*  $d \cdot t_i = \prod_{j \in I} p_j^{s_j + \beta_{ji}}.$

Since  $s_j + \beta_{ji} = s_j + (\alpha_{ji} - s_j)_+ \geq \alpha_{ji}$ , it results  $d_i | dt_i$  and  $dt_i x_i = 0$ ,  $i \in \overline{1, m}$ . Hence

$$\lambda(\{d\}) \supseteq Rt_1 x_1 \oplus \dots \oplus Rt_m x_m.$$

Conversely, let  $x \in \lambda(\{d\})$ .

$$x = a_1 x_1 + \dots + a_m x_m + a_{m+1} x_{m+1} + \dots + a_n x_n$$

and  $0 = dx = da_1 x_1 + \dots + da_m x_m + da_{m+1} x_{m+1} + \dots + da_n x_n$ .

It follows that  $da_i x_i = 0$ , for  $i \in \overline{1, n}$ .

For  $i > m$ ,  $\mu_{x_i} = 0 \Rightarrow da_i = 0 \Rightarrow a_i = 0$ .

For  $i \leq m \Rightarrow d_i | da_i$ .

If  $a_i = 0$ , then  $a_i x_i \in Rt_i x_i$ .

If  $a_i \neq 0$ ,  $a_i = u_i \prod_{j \in I} p_j^{\gamma_{ji}}$ , then  $da_i = u_i \prod_{j \in I} p_j^{s_j + \gamma_{ji}}$ .

$$d_i | da_i \Rightarrow \alpha_{ji} \leq s_j + \gamma_{ji}.$$

If  $\alpha_{ji} \geq s_j$ , then  $\beta_{ji} = \alpha_{ji} - s_j \leq \gamma_{ji}$ .

If  $\alpha_{ji} < s_j$ , then  $\beta_{ji} = 0 \leq \gamma_{ji}$ .

Hence,  $t_i | a_i$  and  $a_i x_i \in Rt_i x_i$ . Finally,

$$x \in Rt_1 x_1 + \dots + Rt_m x_m$$

and  $\lambda(\{d\}) = Rt_1 x_1 + \dots + Rt_m x_m$ .  $\square$

**Theorem 4.2.** Let  $M = Rx_1 \oplus \dots \oplus Rx_m \oplus \dots \oplus Rx_n$  be a finite generated module over the principal ring  $R$  and

$$d_i = \prod_{j \in I} p_j^{\alpha_{ji}}, \quad i \in \overline{1, m}$$

the invariant factors of  $M$ . Let  $(s_j)_{j \in I} \in \mathbb{N}^I$  where  $s_j$  are almost all zero. Let

$$t_i = \prod_{j \in I} p_j^{\beta_{ji}}, \quad \beta_{ji} = (\alpha_{ji} - s_j)_+, \quad j \in I, \quad i \in \overline{1, m}.$$

Then

$$L = Rt_1 x_1 \oplus \dots \oplus Rt_m x_m \tag{5}$$

is a closed subset of  $M$  with respect to  $\lambda \circ \mu$ .

*Proof.* Let  $d = \prod_{j \in I} p_j^{s_j}$ . Repeating the calculation from Theorem 4.1 we obtain

$$\lambda(\{d\}) = L$$

Hence,  $L$  is a closed subset of  $M$  with respect to  $\lambda \circ \mu$ .  $\square$

**Consequence 4.1.** Let  $M$  be a finite generated module over the principal ring  $R$ . Then the closed subsets of  $M$  with respect to closure operator  $\lambda \circ \mu$  are precisely  $M$  and the submodules of the form (5) from Theorem 4.2.

## 5. Characterization of closed subsets with respect to closure operator $\mu \circ \lambda$

From Theorem 1.1 it results that the closed subsets of  $R$  with respect to closure operator  $\mu \circ \lambda$  are precisely the sets  $\mu(L)$ ,  $L \subseteq M$ .

We consider a finite generated module  $M$  over the principal ring  $R$ :

$$M = Rx_1 \oplus \dots \oplus Rx_m \oplus \dots \oplus Rx_n$$

where  $\mu_{x_i} \sim d_i$ ,  $i \in \overline{1, m}$  are the invariant factors of  $M$  and  $\mu_{x_i} = 0$ ,  $i \in \overline{m+1, n}$ .

$$t(M) = Rx_1 \oplus \dots \oplus Rx_m$$

is the torsion submodule of  $M$ .

$$P = Rx_{m+1} \oplus \dots \oplus Rx_n$$

is a free module.

If  $x \in M$ , then there are  $y \in t(M)$  and  $z \in P$  such that  $x = y + z$ .

Let  $L \subseteq M$ . If there is  $x = y + z \in L$  with  $z \in P \setminus \{0\}$  then

$$(\forall a \in R) ax = 0 \Rightarrow ay = az = 0 \Rightarrow a = 0.$$

Hence,  $\mu(L) = \{0\}$ .

if  $L \subseteq t(M)$  then  $(\forall x \in L)d_m \cdot x = 0$  and

$$\mu(L) = \bigcap_{x \in L} \text{Ann}_R(x) \supseteq (d_m).$$

Because  $\mu(L)$  is an ideal and  $R$  is a principal ring, there is  $d \in R$  so that  $\mu(L) = (d)$  and  $d|d_m$ .

Conversely, let  $d \in R$  so that  $d|d_m$ . There is  $t \in R$  so that  $d_m = dt$ .  $\mu_{tx_m} \sim d$ .

For  $L = \{tx_m\}$ ,

$$\mu(L) = \text{Ann}_R(tx_m) = (\mu_{tx_m}) = (d).$$

We proved:

**Theorem 5.1.** *Let  $M$  be a finite generated module over the principal ring  $R$ . Then the closed subsets of  $R$  with respect to closure operator  $\mu \circ \lambda$  are precisely the ideals  $\{0\}$  and  $(d)$  with  $d|d_m$ , where  $d_m$  is the last invariant factor of  $M$ .*

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